



GLOBAL ENVIRONMENT FACILITY
EVALUATION OFFICE

Fifth Overall Performance Study (OPS5)

A soft-focus background image of a savanna landscape with several acacia trees and rolling hills under a clear sky.

STAP Biannual meeting

Washington DC

March 22, 2013

Overview

- ❑ Objective
- ❑ Analytical framework
- ❑ Key issues to be covered
- ❑ OPS5 audience
- ❑ Organizational issues
- ❑ Discussions

Objective of Overall Performance Studies

- To assess the extent to which the GEF is achieving its objectives:
 - As laid down in the GEF Instrument and reviews by the Assembly
 - As developed and adopted by the GEF Council in operational policies and programs for GEF financed activities
 - And to identify potential improvements

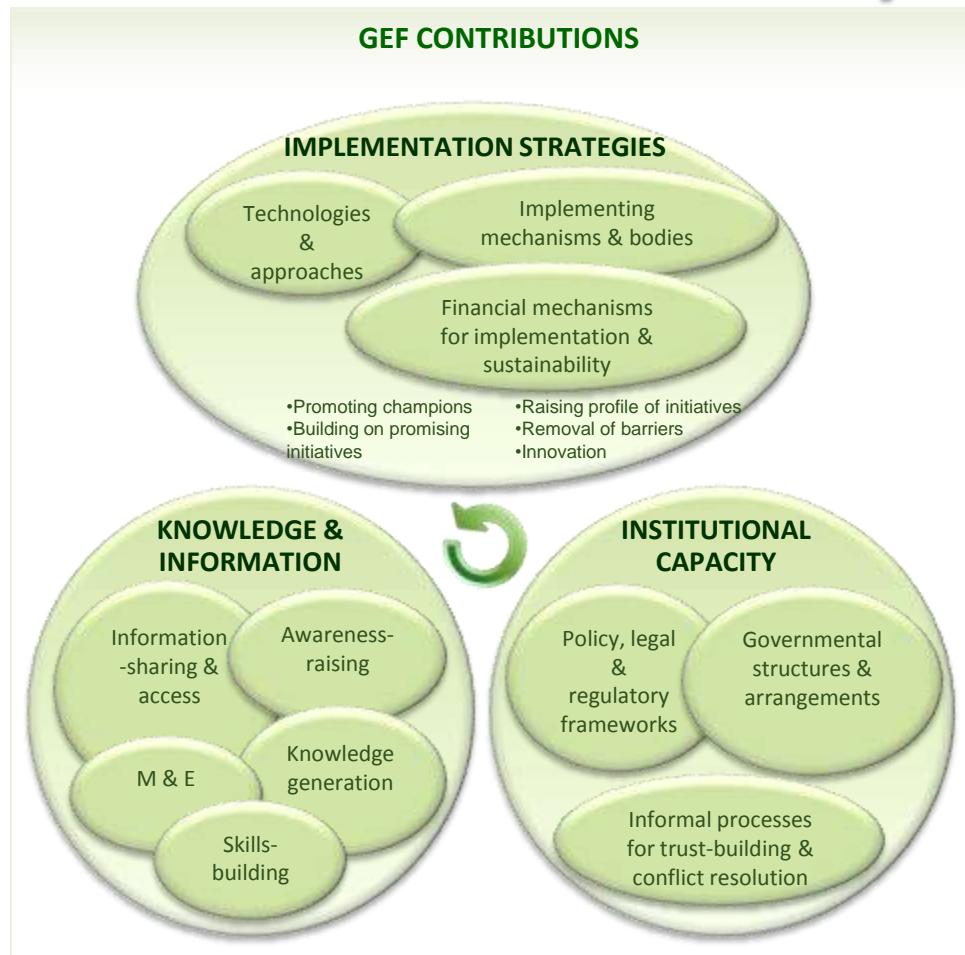
EO Evaluation Streams & OPS5

- ❑ Four streams of evaluative evidence will be integrated into OPS5
 - **Country Portfolio Evaluations:** evidence from 15+ countries
 - **Impact Evaluations:** International Waters, Climate Change, Biodiversity and progress to impact of completed projects at project exit.
 - **Performance Evaluations:** APR trends
 - **Thematic Evaluations:** focal area strategies and adaptation
- ❑ Integration through meta-evaluation into first report; update in final report

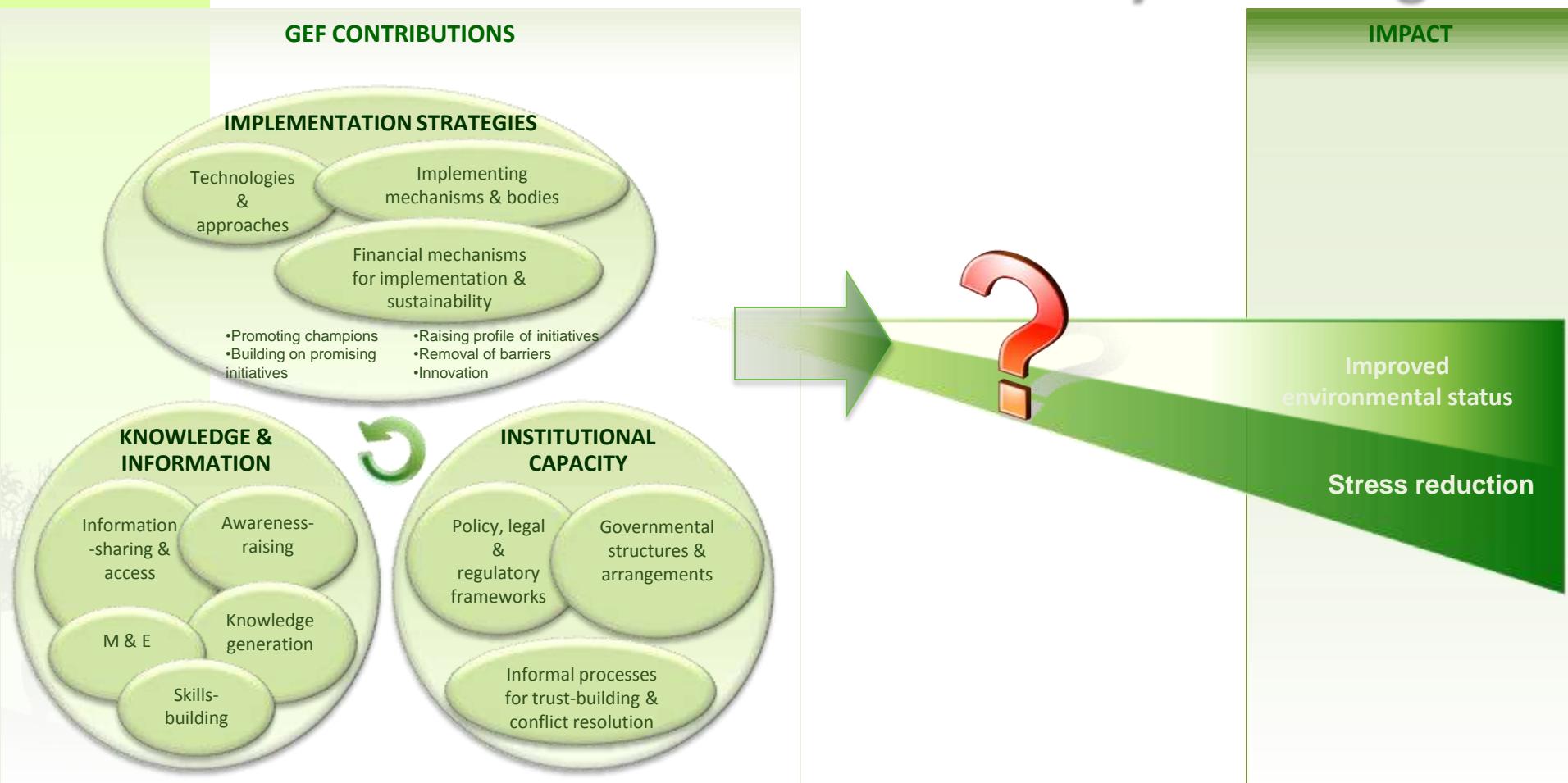
Overall Analytical Framework: GEF's Catalytic Role

- OPS4 brought evaluative evidence on three catalytic elements in GEF support:
 - Foundation: role of governments
 - Demonstration: introduction of new approaches
 - Investment: broad implementation of new approaches
- New evidence since OPS4 has refined elements:
 - Elements are mixed according to country/local needs
 - Each focal area has a unique mixture of elements, aiming at different intermediate states
 - Several evaluations are now exploring these

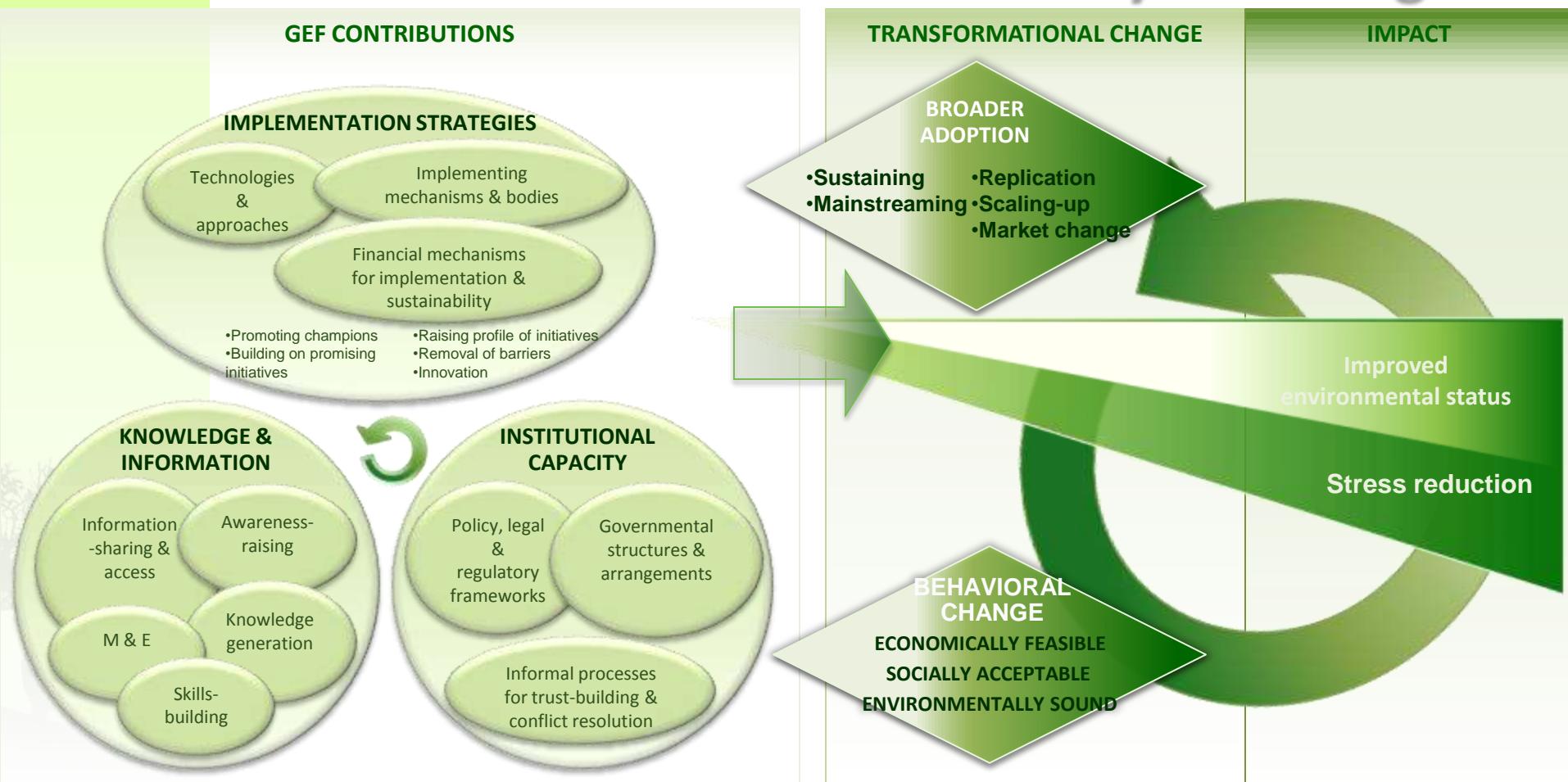
General Framework for GEF Theory of Change



General Framework for GEF Theory of Change



General Framework for GEF Theory of Change



OPS5: Two Reports

❑ First report: March 2013

- A meta-evaluation approach, drawing on existing GEF evaluations

❑ Final report: Early November 2013

- Final report will update meta-evaluation and include findings of additional studies



Key Issues in the First OPS5 Report

- ❑ **GEF in a changing world:** the severity of environmental challenges and the responses
- ❑ **Progress towards impact:** at project completion, in the long run, GEF catalytic role and impact at the system level
- ❑ **Focal area strategies:** the relevance of the GEF to conventions and their achievements
- ❑ **Country level achievements:** evidence and country ownership and drive
- ❑ **Performance issues affecting results:** co-financing, agency fees, project cycle, quality of monitoring and evaluation at entry.



Overarching Conclusions

- Evidence of the emergence of multifocal projects and programs as a strong new modality, a challenge for the formulation of strategies for GEF-6

- Impact and country level evidence show scope for improving progress towards impact through incorporating broader adoption strategies in project and program design

Recommendation of the first OPS Report

- ❑ The replenishment meeting should request that the Secretariat develop strategies for GEF-6 that would strengthen efforts towards broader adoption, and focus more on programmatic multifocal area approaches within the guidance of the conventions.

Key Issues in the Final OPS5 Report:

- Trends in **global environmental problems** and the **relevance** and **added value** of the GEF, also in view of other funding channels
- Ability of the GEF to **mobilize sufficient funding** for a meaningful role in focal areas
- A more in-depth look at impact of the **GEF focal area strategies**, including multi-focal area support
- Extent to which the **GEF reform processes** have achieved enhanced country ownership and improved effectiveness and efficiency
- Governance of the GEF** and donor performance
- Trends in the involvement of stakeholders, the **private sector** and **civil society**
- Cross-cutting policies**: gender, participation, knowledge sharing
- Update of the **SGP** evaluation (since 2009)
- Role of **STAP**
- Health** of the GEF Network

OPS5 Audience

- ❑ OPS5 audience includes
 - Replenishment participants
 - GEF Council
 - Assembly
 - The members of GEF through the Assembly
- ❑ Findings will be shared with other GEF partners
 - GEF Secretariat
 - STAP
 - GEF Agencies
 - NGO Network
 - Project proponents and others



Organizational Issues

❑ Three quality assurance advisors

- Recognized experts from developed, newly emerging, and developing nations

❑ Reference group

- Formed by staff from the GEF Agencies independent evaluation offices

❑ Stakeholder interaction

- Main venue: Extended Constituency Workshops
- Interaction with GEF Partners
- New media will be explored

❑ Interaction with Council/Replenishment

- Presentation of products to both
- Update on progress at each Council/Replenishment meeting

Response on Recommendations

- In November 2012 the GEF Council requested the GEF Secretariat to include a Management Response to recommendations of OPS5 in the documents for negotiations of the sixth replenishment of the GEF

Discussions

**Are there any issues and sources of information
that need to be explored further?**



Ongoing Consultations

We are open to receive more information, concerns, opinions, and suggestions at:

OPS5@thegef.org

Thank you

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Evaluation of STAP for OPS5

STAP Biannual meeting

Washington DC

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STAP's Core Advisory Mandate

- Provide objective, strategic, scientific and technical advice
 - on GEF policies, operational strategies and programs
 - on projects and programmatic approaches.
- Maintain a database of institutions, networks and individuals that can provide scientific advice to GEF
- Coordinate with scientific bodies of conventions
- Provide support and advice to
 - monitoring (definition of indicators, methods, etc.)
 - evaluation (more recently)



Evaluative questions

- To what extent has STAP met its core mandate?
 - Include as part of the response to this question an assessment of the extent to which changes in STAP since 1995 have improved or have hindered performance.
- What factors or conditions, if any, continue to hinder or would further enhance the extent of STAPs fulfillment of its mandate?
 - Include the assessment of specific mechanisms or approaches included in STAPs mandate or that have been adopted by STAP, the composition of STAP, organizational structure of STAP, etc.
- What specific changes would help enhance STAPs fulfillment of its core mandate?



Process

- ❑ Three key elements of the evaluation
 - Review by a panel of peers
 - Self assessment
 - Evaluation Office assessment
- ❑ Will include input from
 - Scientific community (use of Delphi method?)
 - GEF Secretariat and Agencies
 - Scientific bodies of the conventions
- ❑ Will include assessment of
 - Quality of processes, and organizational aspect (panel composition, secretariat structure and roles, etc.)
 - Quality of products

Evaluation Time Line

- Approach paper (April 30)
 - Including consultation with GEF Sec. and Agencies
- Constitution of peer panel (May 15)
 - STAP advisory products
 - STAP composition
- Report of peer panel (June 15)
- STAP self assessment (June 15)
- GEF EO draft report (August 30)
 - Interagency meeting
- Final report (September 15)

Ongoing Consultations

Please provide more information,
concerns, opinions, and suggestions to:

azazueta@thegef.org

Thank you

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